

**JOHN N. HUGHES**  
*Attorney at Law*  
Professional Service Corporation  
124 West Todd Street  
Frankfort, Kentucky 40601

Telephone: (502) 227-7270

[jnhughes@fewpb.net](mailto:jnhughes@fewpb.net)

Telefax (502) 875-7059

February 10, 2011

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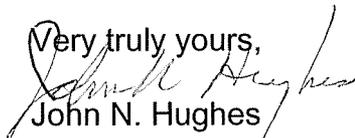
Jeff Derouen  
Executive Director  
Public Service Commission  
211 Sower BLvd.  
Frankfort, KY 40601

Case Nos. 2010-00094; 2010-  
00434; 2010-00473

Dear Mr. Derouen:

Please file the revised tariffs of Northern Kentucky Water District which include the changes required by the final orders in Case Nos. 2010-00094; 2010-00434; and 2010-00473. One paper copy and one electronic copy are being provided.

If you have any questions about this matter, please contact me.

Very truly yours,  
  
John N. Hughes

Attorney for Northern Kentucky  
Water District

Attachments

Cc: Attorney General

**NORTHERN KENTUCKY WATER DISTRICT**

**RATES,**

**RULES**

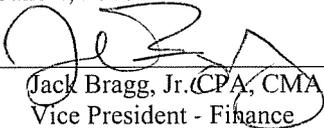
**AND**

**REGULATIONS**

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Date of Issue: June 4, 2010

Date Effective: January 7, 2011

Issued by:   
Officer & Title Jack Bragg, Jr. CPA, CMA  
Vice President - Finance

2835 Crescent Springs Road, Erlanger, KY 41018

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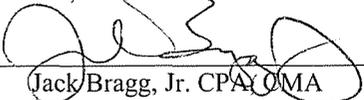
**SECTION I – GENERAL PROVISIONS**

1. Water will be turned on and off only by an authorized employee of the Water District.
2. No application for water service will be approved and no water shall be supplied to any applicant or customer where the applicant or customer is delinquent or indebted to the Water District. This section will apply whether the delinquency or indebtedness is incurred at the premises for which application is made or at any other premises or property.
3. No connection into more than one building shall be made from any one tap unless the District has given its approval in writing.
4. No attachment shall be made to any service connection or to any pipe or other fixture which has been shut off without written permission from the District. Similarly, no water will be taken from any service connection, which has not been used, without written permission.
5. The original purchase and installation of a meter shall be made by the District at the applicant's expense. The District shall retain possession of and maintain all meters to the customer with the exception that if a meter should be destroyed or damaged through negligence of the customer, the replacement or repair shall be charged to the customer. Failure to pay this charge will result in the discontinuance of water service.
6. It shall not be permitted for any customer of the District to have the piping within a structure cross connected to any other source of water supply.
7. Authorized employees of the District shall have the right at reasonable times to enter any premises where a meter is installed for the purpose of reading, examining, changing, inspecting or testing the meter. Should access not be provided, the District may require the customer to pay for all costs associated with moving the meter to an outside setting. Should two or more services originate from one tap, the cost associated with separation to provide individual taps will be the responsibility of the customer involved not providing access to the District.
8. No person shall waste water by leaving open a fire hydrant or other device connected to the waterworks system.
9. No person other than one authorized by the District may use any property or make attachments to any water pipes belonging to the District.
10. Except for fire departments, no person other than an employee of the Water District may use any fire hydrant on the water system without first having secured a permit, a regulation fire hydrant spanner wrench and a fire hydrant meter from the Water District.
11. No person other than an authorized employee of the District shall remove a meter without permission from the District. When a meter has been removed by the District, it shall be illegal to use water supplied by the service branch to which the meter was attached. If it is discovered by the District that a meter has been illegally removed and an unauthorized device is located in the meter-setting to which the meter was attached the service will be immediately disconnected, the unauthorized device confiscated and the proper legal authority notified for theft of service. Water service will not be restored until payment for water used, any damages to distribution equipment, and service charge as outlined in Section IV has been received. In

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**SECTION I – GENERAL PROVISIONS – Cont’d**

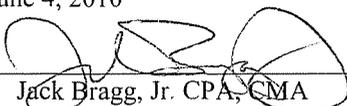
those instances where there is no way to determine actual water usage, an amount equal to the cost of 18,000 gallons, the District’s average quarterly bill will be charged at the current rate schedule. In the event that the service was historically a monthly billed account then a water amount equal to 6,000 gallons, the District’s average monthly bill will be charged at the current rate schedule.

12. The normal billing cycle for NKWD is a quarterly basis, except for Subdistrict accounts. If a customer wishes to change from quarterly to monthly cycle the following criteria must be met:
  - a. Submit a written request along with an explanation of why the billing change is needed. This will be reviewed by the District on a case-by-case basis.
  - b. The average quarterly consumption must be a minimum of 2000 Hundred Cubic Feet per quarter.
  
13. No person, firm, or corporation shall use or make a connection to use water from a service connection or any other available source of water unless authorized by the District. Any connections, hoses, wrenches, or appurtenances attached to any connection without permission by the District shall be immediately confiscated by any employee of the District and the proper legal authority will be notified for the theft of service. In addition, where no permission was granted and there is no way to determine actual water usage, an estimated bill will be calculated based on an amount equal to one month average usage based on the previous 12 month consumption using the current District rate structure. The estimated bill along with a service charge would need to be paid in order to have the water service reinstated. For the unauthorized connection and water usage from a District Fire Hydrant refer to SECTION XX, “Charges for Estimated Usage when not having a Permit or Not using Metering devices”.

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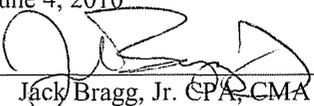
**SECTION IA – DEFINITIONS**

1. "Distribution main" means a line from which service connections with customers are taken at frequent intervals.
2. "Meter" means any device used for the purpose of measuring the quantity of water delivered by a utility to a customer.
3. "Point of service" means the outlet of a customer's water meter, or valve if no meter is placed, unless the meter is located inside a building and that meter was installed by the District prior to July 18, 2008 or was installed by a municipal utility and acquired by the District. In those situations, the point of service is the curb stop or the delineation of the utility easement/right-of-way if the curb stop cannot be located.
4. "Service connection" means the line from the main to the customer's point of service and shall include the pipe fittings and valves necessary to make the connection.
5. "Service line" means the water line from the point of service to the place of consumption.
6. "Service Charge" is applied to help recover the operation and maintenance costs incurred when creating and carrying out a work-order resulting from the following circumstance: a disconnect work-order for a non-payment of bill; a disconnect work-order for a returned (bad) check; a disconnect work-order due to a theft of service; or for failure to comply with the District's Rates, Rules and Regulations.
7. "Customer" shall mean any owner, legal occupant or lessee who is to be the occupant or party responsible for payment for the water service of existing premises who shall file application for water service to such premises.

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**SECTION II – RETAIL WATER RATES**

**Northern Kentucky Water District Service Area**

1. Monthly Service Rate

First	1,500 cubic feet	\$3.67 per 100 cubic feet
Next	163,500 cubic feet	\$3.08 per 100 cubic feet
Over	165,000 cubic feet	\$2.57 per 100 cubic feet

**Customers in Subdistrict A** \*shall be assessed a monthly surcharge in the amount of \$8.55

**Customers in Subdistrict B** \*shall be assessed a monthly surcharge in the amount of \$17.92

**Customers in Subdistrict C** \*shall be assessed a monthly surcharge in the amount of \$18.48

**Customers in Subdistrict D** \*shall be assessed a monthly surcharge in the amount of \$30.00

**Customers in Subdistrict E** \*shall be assessed a monthly surcharge in the amount of \$30.00

**Customers in Subdistrict F** \*shall be assessed a monthly surcharge in the amount of \$30.00

**Customers in Subdistrict G** \*shall be assessed a monthly surcharge in the amount of \$30.00

**Customers in Subdistrict H** \*shall be assessed a monthly surcharge in the amount of \$30.00

**Customers in Subdistrict K** \*shall be assessed a monthly surcharge in the amount of \$13.65

**Customers in Subdistrict R** \*shall be assessed a monthly surcharge in the amount of \$18.73

**Customers in Subdistrict RF** \*shall be assessed a monthly surcharge in the amount of \$21.61

**Customers in Subdistrict RL** \*shall be assessed a monthly surcharge in the amount of \$36.22

\*Subdistrict charges are reviewed annually.

\*Detailed street listing within each Subdistrict can be found under Appendix A.

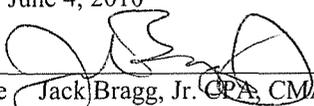
\*Service connections on extensions or laterals from a Subdistrict street will be assessed the appropriate Subdistrict charge.

2. Quarterly Rates

First	4,500 cubic feet	\$3.67 per 100 cubic feet
Next	490,500 cubic feet	\$3.08 per 100 cubic feet
Over	495,000 cubic feet	\$2.57 per 100 cubic feet

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**SECTION II – RETAIL WATER RATES – Cont’d**

3. Fixed Service Charge

<u>Meter Size</u>	<u>Monthly Service Charge</u>	<u>Quarterly Charge</u>
5/8"	\$12.77	\$22.49
3/4"	\$13.20	\$23.70
1"	\$14.41	\$27.24
1 1/2"	\$16.22	\$32.10
2"	\$20.50	\$45.13
3"	\$49.50	\$140.40
4"	\$62.01	\$175.96
6"	\$91.82	\$260.10
8"	\$123.99	\$355.34
10" and Larger	\$164.88	\$464.01

**SECTION III – WHOLESALE WATER SALES**

Bullock Pen Water District	\$3.05 per 1,000 gallons (or) \$2.28 per 100 cubic feet
City of Walton	\$3.05 per 1,000 gallons (or) \$2.28 per 100 cubic feet
Pendleton County	\$3.05 per 1,000 gallons (or) \$2.28 per 100 cubic feet

**SECTION IV – MISCELLANEOUS SERVICE FEES**

Returned Check Charge	\$20.00
Water Hauling Station	\$4.90 per 1,000 gallons
Service Charge	\$25.00 (See Definitions in Section I-A)
Overtime Charge	\$60.00 (To be applied to Customer account for Request for water turned On or Off outside the normally business hours.)

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**SECTION V – CUSTOMER BILL OF RIGHTS**

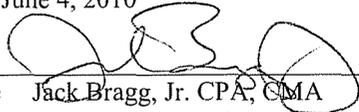
As a residential customer of a regulated public utility in Kentucky, you are guaranteed the following rights subject to the Kentucky Revised Statutes and the provisions of the Kentucky Administrative Regulations:

1. You have the right to service, provided you (or a member of your household whose debt was accumulated at your address) are not indebted to the utility.
2. You have the right to inspect and review the utility's rates and tariff operating procedures during the utility's normal office hours. (8:00 AM to 5:00 PM, Monday through Friday).
3. You have the right to be present at any routine utility inspection of your service conditions.
4. You must be provided a separate, distinct disconnect notice alerting you to a possible disconnection of your service if payment is not received.
5. You have the right to dispute the reasons for any announced termination of your service.
6. You have the right to negotiate a partial payment plan when your service is threatened by disconnection for non-payment. If the outstanding arrears are less than \$150.00, you may apply for a payment plan not to exceed 30 days from the original cut-off date. If your arrears are over \$150.00, a payment plan for longer than 30 days can be arranged, but not to exceed 180 days in length. In both cases, the customer must apply for the payment agreement prior to termination, and 1/3 of the bill must be paid at the time arrangements are made.
7. You have the right to maintain your utility service for up to thirty (30) days upon presentation of a medical certificate issued by a health official.
8. You have the right to prompt (within 24 hours) restoration of your service when the cause for discontinuance of the service has been corrected.
9. You have the right to contact the Public Service Commission regarding any dispute that you have been unable to resolve with your utility. CALL TOLL FREE 1-800-772-4636.

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**SECTION VI – MONITORING OF CUSTOMER USAGE**

At least once annually, the District will attempt to monitor the usage of each customer according to the following procedure:

1. The customer’s quarterly usage for the most recent quarter will be compared with the average quarterly usage for the four quarters immediately proceeding that period.
2. If the quarterly usage for the previous period is substantially the same as the average or if any difference is known to be attributed to unique circumstances, such as unusual weather conditions, common to all customers, no further review will be done.
3. If the quarterly usage differs from the average and is flagged by the billing system and the difference cannot be attributed to a readily identified cause, the District will send a Field Service Representative to reread the meter and investigate the potential cause of the increase in usage.
4. In addition to the quarterly monitoring, the District will immediately investigate usage deviations brought to its attention as a result of customer inquiry.
5. A reading must be obtained on all meters by a District employee, or an authorized person of the District, at least once during an annual basis. If access to the meter is not received, the District will notify the customer and service will be disconnected until access can be made to read the meter.

**SECTION VII – PAYMENT PLAN AGREEMENTS**

The District offers the following payment plan agreements for customers who may have their service terminated for non-payment.

1. If the total arrears prior to termination are less than \$150.00, the customer may apply for up to a 30-day extension. The customer must pay 1/3 of the bill at the time of application.
2. If the total arrears prior to termination are greater than \$150.00, the customer must pay 1/3 of the bill and apply for a payment plan for longer than 30 days, but not to exceed 180 days. All new billing after the payment agreement and all payment agreement amounts must be paid on time.
3. Once a payment arrangement has been established, a letter is mailed to the customer outlining the payment arrangement date(s) and the payment arrangement amount(s). A copy of this letter is documented on the customer account.

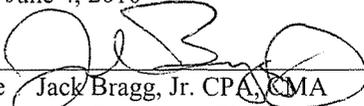
If a customer is on a payment plan agreement and fails to make any one payment, the service will be terminated without notice and will not be reconnected until payment is made in full for all outstanding arrears.

The customer must apply for a payment plan agreement in advance of the termination date. Once the service has been terminated, a payment plan will not be offered.

Sample of Payment Plan letter is shown in Section XXIV – Examples of Forms.

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**SECTION VIII – PAYMENT OF BILLS**

1. Water bills (quarterly) shall become delinquent on the date indicated on the bill, thirty (30) days after the billing date. Once considered delinquent, a 10 percent late penalty charge will be included and shown on the bill as the gross amount or “pay this amount after the due date.”
2. If the bill is not paid within 45 days after the billing date, a fifteen (15) day cut-off notice will then be issued.
3. Payment of monthly bills: Water bills shall become delinquent on the date indicated on the bill, which is 15 days after billing date. At that time, a 10 percent late penalty charge will be included and shown on the bill as the gross amount or “pay this amount after the due date.”
4. For water users on a monthly billing schedule, if the bill is not paid within 16 days after the billing date, a ten (10) day cut-off notice will then be issued.
5. A deposit shall be required if one or more of the following conditions exist for the applicant (hereinafter referred to as “Customer”).
  - a. The customer has unpaid balance from a previous NKWD account regardless of location.
  - b. The customer has on any previous or current NKWD account had service disconnected for non-payment within the previous 2 years.
  - c. The customer has on any previous or existing NKWD account has two or more checks returned by the bank.
  - d. The customer has in the previous seven (7) years or during the course of their NKWD service filed for Chapter 7, 11, 12, or 13 Bankruptcy.
  - e. Any customer that cannot provide a satisfactory credit rating, payment history with this or another utility may be required to pay a deposit to ensure outstanding bills will be paid.
6. Deposit Calculation: A deposit will be the equivalent of 1/12 the estimated annual bill where the bills are rendered monthly or the equivalent of ¼ the estimated annual bill where the bill is rendered quarterly. The District will pay interest on deposits in accordance with KRS 278.460 at the rate the District receives (as determined on January 1 of each year) but not to exceed six percent (6%) annually. Deposit refunds are made two (2) years from the date of the deposit provided the customer has a satisfactory payment record for that period. The deposit will be refunded with interest as a credit to the customers account. The deposit and accrued interest thereon will be applied to any unpaid final bill of such customer. Interest will not be paid after the discontinuance of service to the customer if NKWD has made reasonable effort to return the deposit to the customer. Reasonable effort can be defined as having mailed the deposit to the customer at his last known address.
7. In the event that the water service is disconnected for nonpayment of bills or failure to comply with the District’s Rates, Rules, and Regulations, a service charge will be added to the bill and the customer will be required to pay the full amount due plus the service charge before the water service can be restored to said customer.

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**SECTION IX – ADJUSTMENT OF WATER BILLS**

The District will allow for two types of leak adjustments.

Type 1 – Underground leaks

In cases where it shall be found after an investigation that a leak is underground and not subject to detection by ordinary methods and where the customer is free from negligence in causing or failing to report the leak, the District will make an adjustment on the customer's bill for this type of leak.

The customer is required to submit in writing a request for this type of adjustment. The written request must include the location of the leak and the date the leak was repaired.

This adjustment will be calculated on the billing period(s) that would be effected by the leak not to exceed two billings periods. The leak will be based on the customer's average bill plus one half of the lost water due to the leak.

Accounts are eligible for an underground leak adjustment of one per year with a limit of three leaks total. Proof must be submitted verifying the service line has been replaced before a fourth adjustment will be approved.

Type 2 – Unknown Leaks Resulting in a High Consumption

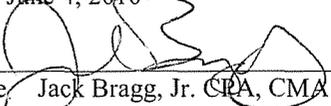
An account can be eligible for a courtesy adjustment for an unknown leak and/or unknown plumbing malfunction.

1. Accounts are eligible for this type of courtesy adjustment once every five years. Total adjustment will not exceed \$1,500.00 per occurrence.
2. The customer is required to submit a letter in writing requesting an adjustment for an unknown leak resulting in high consumption. The letter should also state that the customer has done due diligence at investigating the property for any possible leaks, has found no evidence that would cause an escalation in consumption that was recorded on the meter for the account.
3. The adjustment will be calculated for one billing period and will be based on the customer's average bill plus one half of the lost water.
4. A customer is eligible for a leak adjustment only if the consumption is in excess of 200% of the average consumption.
5. This type of adjustment transfers with the customer to different locations.

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**SECTION X – METER LOCATION AND REQUIREMENTS**

1. Where a meter is located within a building, it shall be the responsibility of the customer to maintain appropriate conditions to prevent physical or freezing damage to the meter. Meters shall be accessible to the District during normal business hours. If normal access to the meter is refused, the District shall require the meter be moved outside and all cost incurred shall be borne by the customer. If the customer fails to provide access to move the meter outside after 30 days written notice from the District, water service shall be disconnected for failure to allow access as required by the District’s Rules and Regulations and not turned on until the meter is moved outside. Should the meter be damaged, the District will replace the meter at a charge of the cost of the meter and time and material, and the customer will be billed per the District’s Invoice Billing Policy. If the customer fails to pay the invoice by the stated date, water service will be discontinued until payment is received. The customer will also be required to pay the service charge as outlined in Section IV.
2. Where the meter is located within a building, the District’s responsibility is the meter and meter connection nut only, and at no time will the District be responsible for any piping making connection to the meter.

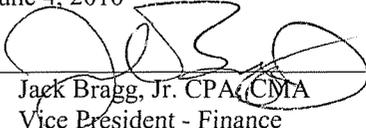
**SECTION XI – METER TEST**

1. All District meters will be tested at least every ten years as required by the Public Service Commission.
2. The meter testing procedure and equipment will conform and be in accordance with all regulations set by the Public Service Commission.
3. Meters will be removed and tested for accuracy when requested by any customer provided that the customer or a representative of the customer accompanies the meter to the District testing location to witness the test or the customer fills out the form stating they do not want to witness the test. This form must be submitted to the office before the test is done. If the meter is within the allowable + or – 2% accuracy, the customer will be charged for the cost of the test. For a 1" meter or smaller, the charge is \$30.00 per test. For meters larger than 1", the charge is the actual cost to the District for the test. In the event the meter accuracy varies more than two percent, the cost of the testing shall be borne by the District and a new or reconditioned meter will be installed at no cost to the customer. If the meter is more than two percent fast, a refund shall be computed on the basis of the percentage fast that the meter tested for a period not exceeding the previous 12 months.

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**SECTION XII – INVOICE BILLING POLICY**

Work performed by District crews, equipment utilized and/or material supplied, will be invoiced and calculated as follows:

1. Actual labor cost plus 40% of labor cost to cover labor overhead.
2. Material cost plus 15% of material cost, plus sales tax when applicable.
3. Equipment cost at 28% of labor cost before (40% is added).
4. Overhead cost at 10% of labor cost before (40% is added).
5. Any other cost incurred by the District, including sub-contractor cost for the job being invoiced.

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**SECTION XIII – SERVICE CONNECTION INSTALLATIONS**

1. Any prospective water customer desiring water service and the installation of a service connection, should apply at the District office. The prospective customer shall, in signing a water service connection contract, agrees to be bound by all the provisions of these Rates, Rules and Regulations as they may be amended from time to time. No service connection shall be installed to serve property which does not abut the street or road on which the distribution main is located, without specific approval of the District. No service connection shall be tapped off a pre-stressed concrete distribution main, any distribution main 20" or larger or any cross-county distribution main without the approval of the District. Every structure wherein water is used shall have a separate service connection and meter provided; however, a variation in this respect may be authorized by the District. The District reserves the right to specify the size of the service connection for each installation. When application for a service connection installation is made; a service connection fee shall be paid according to the following schedule:

5/8" connection	\$750.00
1" connection	\$1,100.00

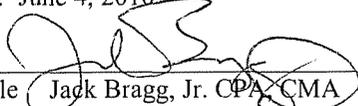
1 1/2" and 2" service connection fees will be billed per Invoice Billing Policy.

No service connection fees will be required for water service connections that are not installed by the District (e.g., large meter pits, fire lines, etc.). The only fees to the applicant will be the cost of the meter and meter appurtenances utilizing the District's Invoice Billing Policy.

2. Upon request from a customer for an enlargement of a service connection, the cost to enlarge the service shall not exceed the cost of a new service connection installation for the applicable enlarged service size. In situations where the District is already planning to replace the customer's existing service connection through a main replacement project or through a maintenance work order, the customer may notify the District of their desire to enlarge the service connection that serves their property and will only have to pay the enlargement cost between the replacement cost the District was planning to incur and the cost necessary to enlarge the service connection.
3. After the tapping fee has been paid, the District shall tap the distribution main and install the service connection from the distribution main to a meter setting and point of service, which will be located behind the curb or an area determined by the District to be placed in a manner that is safe to perform maintenance on such meter setting.
4. The service line from the point of service to the building shall be installed and maintained by the customer at no cost to the District. All service lines may be subject to inspection or approval by the District and/or Kentucky State Plumbing Inspectors before water service will be turned on for use.
5. In cases where the customer desires a water pressure other than that pressure provided by the District's distribution mains in the surrounding area, it shall be the responsibility of the customer to install the necessary devices to provide the desired pressure.
6. In cases where the meter has been installed, the customer shall pay rates specified herein.

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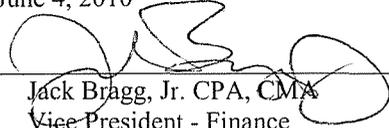
**SECTION XIII-A – SERVICE CONNECTION MAINTENANCE**

1. The District shall retain possession of and be responsible for the service connection from the distribution main to the point of service (curb stop), if applicable, or any piping material within the meter vault.
2. Where a curb stop is applicable and the District determines that a leak is on the customer’s service line beyond the point of service by shutting the curb stop off, the cost to repair such leak will be the responsibility of the customer.
  - a. For service connections installed by a non-jurisdictional utility, which have been acquired by the District and for service connections installed by the District or its predecessors through July 18, 2008 for which the point of service is other than the curb stop or is inside a building, the customer shall be responsible for the costs of repair or replacement of the customer’s service line from the meter inside the building or other location to the curb stop.
  - b. For any service connection installed by the District after July 18, 2008, which requires the customer’s meter to be placed inside a building, the District shall be responsible for any maintenance or replacement of the service line from the distribution main to the customer’s meter, unless the District has received a deviation from 807 KAR 5:066 section 12(1)(a).
3. Where a meter vault is applicable and the leak appears to be inside the meter vault, the District will be responsible to repair the leak. Where the leak appears to be beyond the point of service, the responsibility to repair the leak will be with the customer.
4. The District shall have the right to maintain its service connection to customer’s point of service. In the event the service connection needs to be repaired or replaced, the District will reconnect to the customer’s point of service. If the District is unable to reconnect to the customer’s service line at the point of service, due to its condition, the District will notify the customer. The District will allow the customer reasonable time to make repairs to the service line, which will enable the District to reconnect its portion of the service connection. The District will make a temporary connection to the customer’s service branch provided leaking water does not cause damage to personal or public properties and in no way causes a health or safety problem. If the customer does not make the repairs within seven (7) calendar days of notification, the water is subject to be shut off.
5. If a customer notifies the District that they are replacing their service line from the point of service to the structure, the District may elect to replace its service connection, if it is lead or galvanized steel, from the distribution main to the service point at no cost to the customer.

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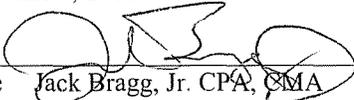
**SECTION XIV-A – DISTRIBUTION MAIN EXTENSION POLICY  
OTHER THAN CONTRACTORS, DEVELOPERS, DISTRICT INITIATED  
DISTRIBUTION MAIN EXTENSIONS & SUBDISTRICTS**

1. The District shall determine the total cost for a proposed distribution main extension (exclusive of the service connections) and the total length of the extension. The District shall pay that portion of the cost of the distribution main extension equal to 50 feet for each applicant for service. The part of the cost not covered by the District's portion shall be contributed equally by those applicants desiring a service connection on the distribution main extension. Each applicant will also be required to pay the District's approved service connection fee for a service connection to the distribution main extension.
2. For a period of five years after the original construction (distribution main placed in-service) of the distribution main extension, each additional customer that has a service connection to the extension, and not to laterals and extensions there from, will be required to contribute to the cost of the extension based on a re-computation of both the District's portion of the total cost and each customer's contribution as described above. Each year the District will refund to those customers that previously contributed to the cost of each distribution main extension that amount necessary to reduce their contribution to the currently calculated amount for each customer connected to the extension. All customers that have a service connection to the distribution main extension for a five-year period after it is placed in service are to contribute equally to the cost of construction of the extension.
3. In addition, each customer must pay the approved service connection fee applicable at the time of their application for the service connection. The service connection is not part of the refundable cost of the extension and may be changed during the refund period. After the five-year refund period expires, any additional customers applying for a service connection on each distribution main extension must be connected for the amount of the approved service connection fee only. It shall be the responsibility of the customers that have contributed to the distribution main extension, which may be entitled to a refund, to notify the District on the "change of address" form provided by the District of the customer's current address. Refunds will be sent to the address of record and if returned will be kept by the District until the District is notified of a current address. The total amount refunded shall not exceed the amount paid to the District.

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**SECTION XIV-B – DISTRIBUTION MAIN EXTENSION POLICY  
CONTRACTORS AND DEVELOPERS**

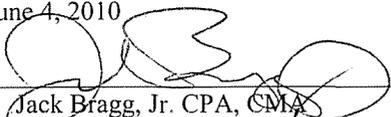
1. A contractor or developer desiring a distribution main extension to a proposed real estate subdivision will be required to pay the entire cost of the extension. It is the contractor or developer's responsibility to notify the District prior to the start of construction so a cost for the extension can be determined and approved by the District. Each year for a refund period of five (5) years after the distribution main is placed in-service, the District shall refund to the contractor or developer who paid for the extension a sum equal to the cost of fifty feet of the extension for each new customer connecting to the distribution main and not to extensions or laterals there from. It shall be the responsibility of the contractor or developer who paid for the extension to notify the District on the form provided with the original application papers of its current address. Refunds will be sent to the address on record and if returned will be kept by the District until the District is notified of a current address. The total amount refunded shall not exceed the amount paid by the applicant.
2. No refund shall be made to the contractor or developer after the refund period ends. There is no refund to the contractor or developer for customers within the real estate subdivision itself.
3. Each new customer must pay the approved service connection fee applicable at the time of their application for the service connection. The service connection fee is not part of the refundable cost of the extension and may be changed during the refund period. After the five-year refund period expires, any additional customer applying for a service connection on a distribution main extension must be connected for the amount of the approved service connection fee only.

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**SECTION XIV-C – DISTRIBUTION MAIN EXTENSION POLICY  
FOR DISTRIBUTION MAIN EXTENSIONS INITIATED BY THE DISTRICT**

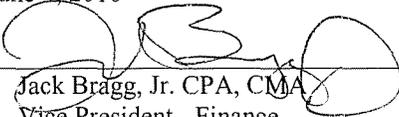
1. Where the District determines that a distribution main extension is feasible and desirable under established criteria, the District shall determine if sufficient interest among the property owners along the proposed distribution main extension exist to use Section XIV-A. If there is insufficient interest among the property owners to use Section XIV-A, the District shall use the following method. Each prospective customer desiring a service connection from the proposed distribution main extension shall pay for the cost equal to 100 feet of the proposed distribution main extension.
2. For a period of five (5) years after the water main is placed in-service, each additional customer requesting a service connection to the extension, and not to laterals and extensions thereto, will be required to contribute the cost of 100 feet of the water line extension (“required contribution amount”). The required contribution amount will exclude the District’s cost to upsize the water main beyond the minimum size required to meet the District’s standards. If during this period the required contribution amount exceeds that required were the extension made pursuant to Section XIV-A, then the District will calculate the required contribution amount in accordance with Section XIV-A and will make refunds to all contributors in accordance with Section XIV-A. Five (5) years after the distribution main is placed in-service, no further contributions will be required and no refunds will be made.
3. In addition, each customer must pay the approved service connection fee applicable at the time of their application. The service connection fee is not part of the refundable cost of the extension and may be changed during the refund period. After the five-year period expires, any additional customer applying for service connection on each distribution main extension must be connected for the amount of the approved service connection fee only. It shall be the responsibility of the customers that have contributed to the distribution main extension, which may be entitled to a refund, to notify the District on the “change-of-address” form provided with the original application paperwork of the customer’s up-to-date address. Refunds will be sent to the address of record and if returned will be kept by the District until the District is notified of a current address.

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**SECTION XIV-D – DISTRIBUTION MAIN EXTENSION POLICY FOR SUBDISTRICTS**

1. Pursuant to 807 KAR 5:066 Section 11(4), when the District determines that the cost of a main extension using any other main extension tariff creates an unreasonable financial hardship on potential customers or that an alternative extension method will benefit the public interest by allowing the extension of water facilities into unserved areas that would otherwise be uneconomical to serve or would benefit the District, the District may use a subdistrict and rate surcharge as an alternative to other extension procedures.
2. The use of a subdistrict, including the imposition of a rate surcharge for the customers within the subdistrict, is subject to the following criteria:
  - a. There must be a demand for the extension of the service sufficient to make the extension hydraulically and financially feasible as determined by the District.
  - b. There must be funds available to the District from third party sources, such as governmental loans and grants, customer contributions or other non-rate based revenue to supplement the District's financial contribution to the extension to make the extension financially feasible in the discretion of the District.
  - c. The demand for service must be within an area or areas in which the potential customers share common geographic characteristics or can be served through common utility plant and facilities. The area of the proposed subdistrict may include non-contiguous locations of potential customers.
  - d. Common geographic characteristics include but are not limited to greater customer population density when compared to other unserved areas within the District's service area. In areas where customer density may be lower than other potentially served areas, factors such as location of customers along the route needed to connect higher density areas, hydraulic improvements to the District's system, geotechnical factors, location of existing distribution facilities, adequate customer participation in the project, financial contributions by a customer or group of customers to fund the extension, availability of sewer service in an area or other similar factors may be used by the District in assessing the feasibility of the subdistrict.
3. Customers served from any extension of facilities installed to serve a subdistrict shall become customers of that subdistrict and subject to the currently effective surcharge.
4. If any funds remain after completion of the subdistrict facilities, those remaining funds may be used to complete additional extensions or improvements and any new customers served shall become customers of that subdistrict.
5. The subdistrict and collection of its rate surcharge shall continue until the debt associated with the extension has been recovered through the surcharge.

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**SECTION XV – SPECIAL CONTRACTS**

The District reserves the right to provide special services for a user on a contract basis.

**SECTION XVI – LINE LOCATION POLICY**

The District requires that all water main location requests be made in accordance with KRS 367.4901 to 367.4917. The District does not belong to a one call center and handles all locate requests in-house. The District's normal hours for calling in locations are Monday through Friday 8:00 AM to 4:30 PM. If an emergency request is required after hours, the District provides an after-hours number that should be contacted.

**SECTION XVII – ABANDONED DISTRIBUTION  
MAINS/SERVICE CONNECTIONS**

In cases where the distribution main to which the customer's service connection and point of service is tapped is replaced or abandoned due to obsolescence, age or deterioration, the District shall install a new service connection and point of service to another distribution main which abuts the customer's premises. The location of the point of service will be located behind the curb or an area determined by the District as to be placed in a manner that is safe to perform maintenance to District said responsibilities. It may be the customer's responsibility to install a service line from the point of service to the place of consumption.

An abandoned service connection is to apply to a service connection that is disconnected from the main line or when no water is available at the point of service. If no point of service is located, it will be assumed that the service connection is abandoned. The District may abandon any inactive service that has been inactive for a period of three (3) or more years.

In cases where the service connection has been requested in writing to be abandoned at the distribution main, the service connection will be abandoned at no cost to the customer.

If the customer requests that an abandoned service connection be reconnected, the customer will pay the reconnection charges per the District's Invoice Billing Policy, not to exceed the cost of a new service connection installation, for the District to bring the service up to current District standards.

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**SECTION XVII-A – UPGRADING INACTIVE SERVICE CONNECTION**

An inactive service connection is defined as a service connection that is still connected to the distribution main and a point of service is located, but no meter is present. Inactive service connection status will apply to a service connection after a period of one (1) year after the meter has been requested to be turned off.

If the customer requests that a meter be set in an inactive service connection, the District will activate the service at no cost to the customer.

The District may upgrade an inactive service connection at no cost to the customer at its sole discretion if the service connection condition warrants an upgrade as determined by the District

**SECTION XVIII – INDIVIDUAL PUBLIC FIRE HYDRANT INSTALLATION POLICY**

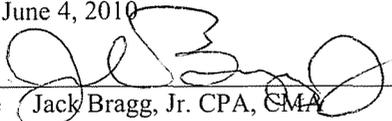
The District will install public fire hydrants to existing distribution mains within the District's service area where the Public Service Commission installation requirements for fire hydrants are met as follows:

1. A written request must be submitted to the District by the applicant(s) desiring the fire hydrant installation.
2. Submitting applicant(s) must pay for all material per the District's Invoice Billing Policy prior to hydrant installation.
3. The District will contribute the labor for the hydrant installation.
4. Fire hydrant location will be determined by the District and the local fire department.
5. Material to be paid for by the applicant(s) is limited to: 8"X 8" X 6" Anchoring Tee, 6" Gate Valve, 6"X 12" Anchoring Coupling, 5 ¼" Main Valve Opening X 4'-0" Bury Fire Hydrant, 8" Solid Sleeve Coupling, and Valve Box; all other material cost will be at the District's expense.

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**SECTION XVIII-A – POLICY FOR INSTALLATION OF PUBLIC  
FIRE HYDRANTS ON UPGRADED AND NEW DISTRIBUTION MAINS**

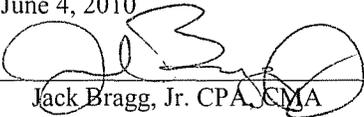
For distribution main replacement projects, which involve replacement of existing distribution mains that currently do not have any fire hydrants or have a limited number of fire hydrants, the following procedures shall be used:

1. Existing fire hydrants will be replaced in the approximate same location, unless the local fire department or city requests relocation and the adjacent property owners to the existing fire hydrant agree, in writing, to the relocation.
2. Anchoring tees and valves may be installed along the water main project at approximately 450' – 500' intervals for future fire hydrant installations.
3. New fire hydrants may be installed at the District's expense for flushing purposes and at high points in the main for air releases, depending on hydrant spacing and as determined by the District.
4. Additional fire hydrants may be installed if the property owners, local fire departments and/or cities wish to pay the cost of such hydrants. (Fire protection districts, under KRS 75.080 may install fire hydrants and apportion the costs of the installation against the owners of the property fronting the public ways in which the fire hydrants are installed.)

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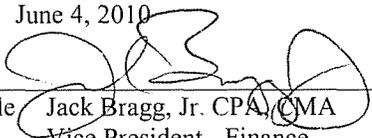
**SECTION XIX – FIRE HYDRANT MAINTENANCE POLICY**

1. The local fire departments and cities are responsible for:
  - a. Notification to the District of:
    - i. Any discrepancies or problems with a fire hydrant by submitting the proper “Fire Hydrant Inspection Reports” to the District.
    - ii. The amount of water used for flow testing and flushing fire hydrants, training drills using fire hydrants, refilling fire engine tanks, fire emergencies, and any other use for fire hydrants in accordance with 807 KAR 5:095. These figures only need to be an estimated amount.
    - iii. Any event in which a fire hydrant is utilized for any purpose other than an emergency. This includes: flow testing and flushing fire hydrants, training drills using fire hydrants (night or day), refilling fire engine tanks on a non-emergency basis, and any other non-emergency use for fire hydrants.
    - iv. Any event in which a fire hydrant is utilized for an emergency purpose. The District shall be notified as soon as practical.
  - b. Coordinated Inspection of the working condition and accessibility of each public fire hydrant located in their jurisdiction including:
    - i. Inspection, operation and flow testing of all fire hydrants must coordinate with the District and should be conducted in the spring and fall only. Summer flow testing and inspection should be avoided due to increased demand on the system.
    - ii. Notification of any discrepancies or problems with the fire hydrant.
  - c. Winterization (pumping them dry if they do not self drain) of all the hydrants in their area to avoid freezing (except as noted in Section XIX 2.d).
  - d. Maintenance of the following items for public fire hydrants:
    - i. Lubricating the threads of the discharge nozzles and the operating nut of the fire hydrant.
    - ii. Maintaining accessibility and visibility.
    - iii. Replacing nozzle cap gaskets when they are missing or damaged beyond their usefulness.
    - iv. Painting the entire fire hydrant, “red” for high pressure zones and “yellow” for all other zones. The only exception to this is, if the fire department elects to color code the hydrants as to the flows available. Only the color of the dome can then be changed.
  
2. The Northern Kentucky Water District is responsible for:
  - a. Repairing all public fire hydrants within a reasonable time, subject to the District’s work load, after the District receives proper written notification from the fire departments or city with the exception of items listed under fire department and city responsibilities. The District will notify the local fire department or city when repairs are made.
  - b. Supplying paint, lubricant and nozzle cap gaskets to any fire department or city in the District’s service area.
  - c. Notification to the local fire department when any fire hydrant in their service area is going to be out of service due to scheduled shut downs, main breaks, maintenance, etc. In cases of an emergency shut down, notification will be made as soon as practical.
  - d. Winterization (pumping them dry if they do not self drain) of all the hydrants used by the District for system operation and maintenance to prevent them from freezing between November 15 – March 30.

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**SECTION XIX-A – POLICY FOR INSTALLATION  
OF PUBLIC FIRE HYDRANTS**

**1. District Initiated Distribution Main Projects Under SECTION XIV-C of the District’s Tariff (“100’ Extension Rule”)**

For new distribution main projects initiated by the District which fall under the 100’ Extension Rule, the following procedure shall be used for the installation of fire hydrants:

- a. Anchoring tees and valves may be installed along the water main project at approximately 450’ – 500’ intervals for future fire hydrant installation.
- b. New fire hydrants may be installed, at the District’s expense, for flushing purposes and at high points in the main for air releases depending on hydrant spacing and as determined by the District.
- c. Additional fire hydrants may be installed if the property owners, local fire departments and/or cities wish to pay the cost of such hydrants. (Fire protection districts, under KRS 75.080, may install fire hydrants and apportion the costs of the installation against the owners of the property fronting the public ways in which the fire hydrants are installed.)

**2. Water Main Extensions made per SECTION XIV-A of the District’s Tariff (50’ Participation by the District)**

For new distribution main projects that fall under the 50’ Participation Rule, the following procedure shall be used for the installation of fire hydrants:

- a. Fire hydrants will be installed along the distribution main project at approximately 450’ – 500’ intervals as recommended by the local planning commission and/or the local fire department. The cost of the fire hydrant installation will be built into the project cost and paid by the property owners requesting the distribution main extension.

**3. Subdistrict Type Projects**

For projects that are funded through a surcharge on the water bill, the following procedure shall be used for the installation of fire hydrants:

- a. Fire hydrants may be installed along the distribution main project at approximately 450’ – 500’ intervals as recommended by the Northern Kentucky Area Planning Commission and the local fire department. The cost of the fire hydrant installation will be built into the project and paid for by the surcharge on the water bill.
- b. In rural areas, fire hydrants may be installed at 1,000’ intervals; tees and valves may be installed between these hydrants for future fire hydrant installation. Anchoring tee and valve locations will be approved by local fire department(s).

**4. New Subdivisions**

For new subdivisions where the distribution main is to be extended by a contractor(s) or developer(s), the following procedure shall be used for the installation of fire hydrants:

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**SECTION XIX-A – POLICY FOR INSTALLATION  
OF PUBLIC FIRE HYDRANTS – Cont’d**

- a. Fire hydrants will be installed throughout the subdivision at approximately 450' – 500' intervals as recommended by the local planning commission and/or the local fire department. The contractor or developer will be responsible for the cost of the fire hydrant installation.

**5. General Fire Hydrant Installation Requirements**

New fire hydrant installation shall only be permitted on distribution mains which can provide a minimum fire flow of 250gpm and the water system supporting this flow has the capability of providing this flow for a period of not less than two (2) hours plus consumption at the maximum daily rate. A minimum of 30 psi must be available on the discharge side of all meters. Fire hydrants shall be connected only to distribution mains adequately sized to carry fire flows and in no case to lines smaller than six (6) inches. Fire hydrant spacing shall be as recommended by the Northern Kentucky Area Planning Commission and the local fire department, normally every 450' – 500'. Fire hydrants shall be located on or as close to side property lot lines as possible when feasible. If the hydraulic system cannot support the installation of fire hydrants, anchoring tees and valves shall be installed to allow for future fire hydrant installation when adequate water is available.

**6. Existing Fire Hydrants Installed Prior to 1992 and Fire Hydrants Acquired from Other Water Systems**

Fire hydrants installed prior to 1992 and fire hydrants acquired from other water systems may not meet the requirements of 807 KAR 5:066. These fire hydrants may be installed on water mains smaller than six (6) inches or have flows less than PSC requirements. Those hydrants that do not meet these requirements are considered flushing type devices for the maintenance of the water system. Fire hydrants installed prior to 1992 or fire hydrants acquired from other water systems may not meet the requirement of 807 KAR 5:066.

**SECTION XIX-B – RELOCATION OF  
EXISTING PUBLIC FIRE HYDRANTS**

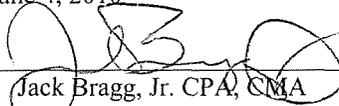
Existing public fire hydrants are defined as hydrants that are over one-year-old from the installation/maintenance period. Person(s), local fire department, and/or cities requesting a fire hydrant to be moved shall make written request to the District stating the reasons for the relocation. The District will inspect the hydrant in question and verify whether the problem with the location of the existing fire hydrant is a safety or other justifiable problem. If the District determines that there is a need to relocate the fire hydrant, the entity that made the request, will be charged for the material only, to make said relocation per District’s Billing Policy. The District will supply the labor cost for said relocation. If the District determines that the location is suitable and does not warrant relocating and the customer or entity still request relocation, 100% of the cost to relocate the fire hydrant, with no cap, will be paid by the customer or entity. The fire hydrant will be relocated to a location that is reasonable and most cost effective. If the District chooses to upgrade the hydrant as part of the relocation, the cost for the upgrade materials shall be borne by the District entirely. This policy shall not be used for upgrading an existing fire hydrant.

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**SECTION XX – FIRE HYDRANT USE PERMITS  
FOR PRIVATE OR PUBLIC FIRE HYDRANTS**

Temporary water service may be made available from fire hydrants in the District’s service area upon proper application and approval by the District. Fire hydrant permits are available at the District’s offices and are approved based on available water, location of fire hydrants, and size of meter required.

Fire hydrant permits are normally issued by the District for only the following reasons (limited to duration of the need, but in no case longer than 30 days, as stated at issuance of permit):

1. Filling swimming pools.
2. Instances which are non-recurring in a given area, such as:
  - a. sewer flushing
  - b. mud jacking of streets
  - c. paving projects
  - d. demolition dust control
  - e. street cleaning
  - f. street cutting
3. Street sweepers which use designated hydrants.
4. Other purposes as deemed necessary by the District.

All fire hydrant permit connections shall be properly metered and shall have proper backflow protection. Metering devices and backflow prevention devices shall be furnished by the District and obtained at the issuance of permit.

No Fire Hydrant Permit shall be issued for a period of more than 30 days without renewal.

No Fire Hydrant Permit shall be issued for construction purposes where a permanent service will be required at a future date.

Contractors performing road projects for which the time of construction is longer than 90 calendar days, who desire water service for any reason shall determine a central location, approved by the District, and shall cause to be constructed a service connection to meet their needs as per the Rates, Rules and Regulations of the District.

**DEPOSITS, FEES and CHARGES:**

Hydrant Usage Deposit – A refundable deposit shall be placed with the District, from which any charges shall be deducted for damages and unbilled water, and the balance returned to the holder of the permit.

Deposit Charges are as follows:  
1 to 5 days = \$250.00  
5 to 30 days = \$1,000.00

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Date of Issue: June 4, 2010

Date Effective: January 7, 2011

Issued by:   
Officer & Title Jack Bragg, Jr. CPA, CMA  
Vice President - Finance

2835 Crescent Springs Road, Erlanger, KY 41018

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**SECTION XX – FIRE HYDRANT USE PERMITS  
FOR PRIVATE OR PUBLIC FIRE HYDRANTS – Cont’d**

Each Fire Hydrant Permit will require a daily fee. The daily fee is as follows:

- 1" meter assembly with 5/8" outlet = \$15.00 per business day
- 3" meter assembly with 2 1/2" outlet = \$30.00 per business day

The deposit for cities, counties, agencies, and other groups approved by the District shall be waived. Water consumption shall be billed at the normal rates of the District. The holder of a Fire Hydrant Permit shall be responsible for any damage (including freezing), loss, or theft of the meter assembly and for any damage to the fire hydrant, and will be charged for repairs at the District's Invoice Billing Policy. If there is any leakage between the fire hydrant and the connection to the permit holder's hose connection, the fire hydrant shall be immediately shut off and the District notified.

**CHARGES FOR ESTIMATED USAGE WHEN NOT HAVING A PERMIT OR NOT USING METERING DEVICES:**

No person, firm, or corporation shall use or make a connection to use water from a fire hydrant or other available source of water unless a properly authorized Fire Hydrant Permit is issued by the District. Any connections, hoses, wrenches, or appurtenances attached to a fire hydrant without a permit issued by the District shall be immediately confiscated by any employee of the District and the proper legal authority will be notified for the theft of service. In addition, where no permit was issued and there is no way to determine actual water usage, an estimated amount equal to the cost of the water flowed for four (4) hours @ 250gpm plus any damages to distribution system will be charged.

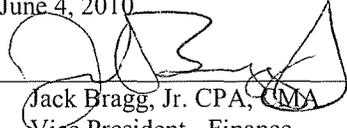
**PERMANENT INSTALLATION OF METER & BACKFLOW PREVENTER:**

Cities, county agencies, and other groups approved by the District requesting permits for instances which are non-recurring in a given area, may install a meter (purchased from the District), an approved backflow prevention device or method, and appurtenances for permanent mounting on their equipment. The installation must be approved by the District. A Fire Hydrant Permit shall still be required for a specific hydrant(s). The meter must be delivered to District's office on or before the day of Fire Hydrant Permit expiration. At this time, the meter shall be read and billed to the permit holder. If permitted, the meter shall be re-issued for an additional time period. On a yearly basis, the meter must be tested by the Meter Service Department and the approved backflow preventer shall be tested by a Certified Backflow Technician acceptable to the District.

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**SECTION XXI – WATER SERVICE FOR FIRE DEPARTMENTS**

**FIRE DEPARTMENTS:**

Any city, county, urban-county, charter county, or fire protection district (“User”) may withdraw water from the utility’s water distribution system for the purpose of fighting fires and or training firefighters at no charge on the condition that it maintains estimates of the amount of water used for fighting fires and/or training during the calendar month and reports the amount of this water usage to the utility no later than the 15<sup>th</sup> day of the following calendar month.

Any city, county, urban-county, charter county, or fire protection district that withdraws water from the utility’s water distribution system for fighting fires and or training purposes and fails to submit the required report on water usage within 60 days of the report calendar month shall be assessed the cost of the water.

A non-reporting user’s report shall be presumed to be 0.3 percent of the utility’s total water sales for the calendar month. The utility should consider this evidence and may adjust the presumed usage amount accordingly.

The non-reporting user shall be billed for this usage at the lowest usage block rate regardless of customer classification and the utility charges.

A non-reporting user shall also be assessed a penalty of \$25.00 for each failure to submit a report within 60 days of the report calendar month.

**SECTION XXII – PRIVATE FIRE PROTECTION SERVICE**

Connections from the public water distribution system for new private fire protection service will be constructed per District’s Standard Drawings on water mains which can support these types of installations. All private fire protection services shall comply with the requirements of 807 KAR5:095.

The property owner will be responsible for all installation and maintenance costs of the private fire protection service. No private fire service lines, hydrants or systems shall be installed without written approval from the District. It shall be the responsibility of the property owner to request approval from the District for any proposed alterations to the piping or equipment of any fire service line. There shall be no alteration without prior written approval of the District.

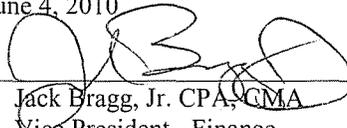
It shall be the responsibility of the property owner to limit the use of the private fire protection service to fire fighting or necessary testing only. Where a fire service line has outlets, such as fire hydrants or hose outlets, the District may seal each outlet. Such seal shall not be broken, except when necessary for fire fighting purposes. This tariff supercedes any existing special contract for the provision of private fire protection services. A private fire service line from the District’s main shall not be used for other than fire protection purposes, except when a dual service has been approved by the District in writing. The District may order a fire service line metered and converted to a commercial account to be billed at the current rate schedule for any of the following reasons:

1. Continued use of water from the service line for other than fire fighting purposes after notification by the District. (Flushing debris, clean up or flushing of spillage, watering of dumps and other dry areas, shall not be considered as fire fighting purposes.)

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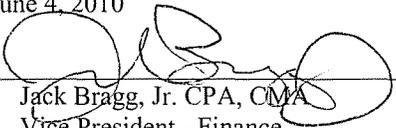
**SECTION XXII – PRIVATE FIRE PROTECTION SERVICE – Cont'd**

2. Failure to repair a leak or leaks on the fire service branch or fire protection system.
3. Use of water from the fire service line to control or extinguish fires intentionally set by property owner.
4. Unauthorized breaking of a seal or seals on outlets of a fire service line which was placed by the District.
5. Failure to meet reporting requirements established in 807 KAR5:095.

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2835 Crescent Springs Road, Erlanger, KY 41018

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**SECTION XXIII – CROSS CONNECTION CONTROL POLICY**

*\*This Tariff is currently pending before the PSC and is therefore unenforceable.*

**GENERAL:**

In accordance to Kentucky Division of Water Regulations, 401 KAR 8:020, Section 2, Para. 2, all cross-connections are prohibited. The use of automatic devices such as reduced pressure zone backflow preventers and vacuum breakers, may be approved by the Natural Resources and Environmental Protection Cabinet in lieu of proper air gap separation. A combination of air gap separation and automatic devices shall be required where determined by the Cabinet to be necessary due to the degree of hazard to public health.

The Kentucky Division of Water 401 KAR 8:010, Section 1, Item 28, has defined cross-connections as a physical connection or arrangement between two (2) otherwise separate systems, one (1) of which contains potable water and the other being either water of unknown or questionable safety, or steam, gas or chemicals, whereby there may be flow from one (1) system to another, the direction of flow depending on the pressure differential between the (2) systems.

The District is required to comply with 401 KAR 8:020, Section 2, Para. 2, as well as other rules and regulations for public water systems, which pertain to cross-connections, auxiliary intakes, bypasses, and inter-connections, and to establish an effective, on-going program to control these undesirable water uses.

The following requirements apply to all customers of the District:

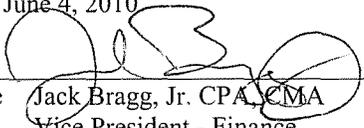
1. All new commercial, industrial, multi-family, and governmental accounts will be required to meet the District standards for cross-connection control upon notification from the District. Water service for new commercial and industrial accounts will not be turned on until the Water District requirements are met.
2. All existing commercial, industrial, multi-family, and governmental accounts will be required to meet the District standards for cross-connection control upon notification from the District. Existing accounts will be prioritized by the largest meter size and consumption for that meter size, inspection will start with the largest meters and consumption. Existing accounts will have six (6) months upon notification from the District, to meet District standards unless an additional time extension is approved by the District.
3. Severe high hazard accounts will be required to meet the District standards for cross-connection control upon notification from the District. A severe high hazard customer creates a real or potential threat of contamination or pollution of a physical or toxic nature to the health and well-being of the public water supply. These customers continuously have hazardous cross-connections or the potential hazards are so great that these premises need to be prioritized. Existing accounts will be prioritized by the largest meter size and consumption for that meter size, inspection will start with the largest meters and consumption. Existing accounts will have six (6) months upon notification from the District, to meet District standards unless an additional time extension is granted by the District.

No person shall cause a cross-connection, auxiliary intake, bypass, or inter-connection to be made, or allow one to exist for any purpose whatsoever, unless the construction and operation of same meets the District standards for cross connection control.

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2835 Crescent Springs Road, Erlanger, KY 41018

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**SECTION XXIII – CROSS CONNECTION CONTROL POLICY – Cont’d**

*\*This Tariff is currently pending before the PSC and is therefore unenforceable.*

**INSPECTION:**

The District shall inspect all commercial and industrial properties served by the public water system where cross-connections with the public water system are deemed possible. Authorized representatives from the District shall have the right to enter, at a reasonable time, any property served by a connection to the public water system for the purpose of inspecting the piping system or systems thereof for cross-connections, auxiliary intakes, bypasses or inter-connections. On request, the owner, lessee, or occupant of any property so served shall furnish to the inspection agency any pertinent information regarding the piping system or systems on such property. The refusal of such information or refusal of access, when requested, shall cause the District to classify the account as a severe high hazard account and the owner shall meet all District requirements for that classification.

**REQUIREMENTS:**

The District shall require the use of an approved protective device on the service line serving the premises to assure that any contamination that may originate in the customer’s premises is contained therein. The type of protective devices to be installed shall correspond to the degree of hazard as determined by the District. All protective devices shall be listed and approved by the Foundation for Cross-Connection Control Research, University of Southern California and the District.

The methods of installation of backflow protective devices shall be approved by the District prior to installation and shall comply with the criteria set forth by the District’s standard specifications and drawings for the installation of backflow prevention devices. Any and all cost incurred with the installation and maintenance of cross-connection control devices and appurtenances shall be borne by the customer.

Any person who now has cross-connections, auxiliary intakes, bypasses, or inter-connections in violation of this policy shall be allowed a reasonable time to comply with the provisions of this policy. They will have six (6) months upon notification from the District, to meet District standards unless an additional time extension is granted by the District.

The failure to correct conditions threatening the safety of the public water system as required by this policy within the District approved time frame shall be grounds for termination of the water service.

Where cross-connection, inter-connections, auxiliary intakes, or bypasses are found that constitute an extreme hazard of immediate concern of contaminating the public water system, the District shall require that immediate corrective action be taken to eliminate the threat to the public water system. Immediate steps shall be taken to disconnect the public water supply from the on-site piping system unless the hazard(s) is corrected immediately.

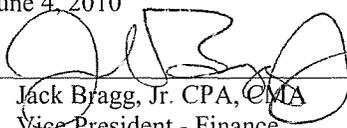
All approved backflow prevention devices shall be tested and certified that they work properly by a District approved certified backflow tester directly after system activation and every year thereafter according to the manufacturer’s recommendations.

Test notices will be sent out by the District prior to the due date, giving the customer 30 calendar days to get the device tested and proper paperwork returned to the District. If, after the first 30 days, no action is taken, a second notice will be sent to the customer giving them an additional 30 days to comply. If, after this time, no action is

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**SECTION XXIII – CROSS CONNECTION CONTROL POLICY -- Cont'd**

**\*This Tariff is currently pending before the PSC and is therefore unenforceable.**

taken, a third notice will be sent, giving them 10 working days to comply or water service will be discontinued at the end of 10 days.

The failure to maintain backflow prevention devices in proper working order shall be grounds for discontinuing water service to a premise. Likewise, the removal, bypassing or altering of a protective device or the installation thereof, so as to render the device ineffective, shall constitute grounds for discontinuance of water service. Water service to such premises shall not be restored until the customer has corrected or eliminated such conditions or defects to the satisfaction of the District.

The requirements contained herein shall apply to all premises served by the District regardless of political subdivision boundaries, and shall constitute a part of the conditions required to be met to provide water service to any premises. Such action, being essential for the protection of the water distribution system against the entrance of contamination, may render the water non-potable.

**ENFORCEMENT:**

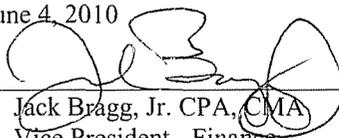
Whenever any person neglects or refuses to comply with any of the provisions of this policy, the District shall discontinue water service until such cross-connection; auxiliary intake, bypass or inter-connection has been corrected.

**SECTION XXIV – EXAMPLES OF FORMS (next page)**

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Vice President - Finance

2835 Crescent Springs Road, Erlanger, KY 41018

**NORTHERN KENTUCKY WATER DISTRICT**

FOR NKWD Area Served  
 PSC No. 4  
 Sheet No. 34  
 Canceling PSC No. 3  
 Canceling Sheet No.



Service Address: 999 Any St  
 Customer Number: 9999999999  
 Account Number: 9999999999

**Account Summary**

Prior Balance	Payments	Bal. Forward	Adjustments	New Charges	Total Amount Due
\$60.92	\$0.00	\$60.92	\$0.00	\$55.17	\$30.48

Office:  
 2835 Crescent Springs Rd  
 Erlanger, KY 41018-1324

Billing Date: May 18, 2010  
 Payment Due ON or BEFORE: June 21, 2010  
 Payment Due After Due Date: \$33.36

Mailing Address:  
 PO Box 18640  
 Erlanger, KY 41018-0640

**Meter Reading Data**

Meter #	Meter Size	Previous Read Date	Current Read Date	Days	Read Type	Previous Reading	Current Reading	Usage	Units
99999999	5/8"	02/17/10	05/17/10	89	Final Rd	269	280	11	HCF

Any Questions?  
 Please call us at 859.578.9898  
 Monday - Friday  
 8:00 AM - 5:00 PM

Next Reading Date: August 2010  
 Total Usage Billed: 2 HCF

NOW AVAILABLE - View your account, receive eBills and pay your bill 24/7 using our new Infinity.Link account management portal. Visit our web site at www.nkywater.org and click "PAY YOUR BILL".

Current Charges Detail	Service Period: February 1, 2010 to May 17, 2010	Total Usage Billed: 2 HCF
Balance Forward.....		\$0.00
Current Charges		
Fixed Service Charge.....		22.13
Consumption - Tier 1: 2.00 HCF @ \$3.31 per HCF.....		6.62
Sales Taxes - Kentucky.....		1.73
Total Charges for: 524 7TH AVE.....		\$30.48

Amount Due ON or BEFORE June 21, 2010.....\$30.48

**Usage History**

Read Date	Days	Usage
02/01/10	55	3 HCF

Due date is for CURRENT bill only.  
 Any account with a previous amount due is subject to disconnection.

Return this portion with your payment. Make check or money order made payable to NKWD



PO Box 188190  
 Erlanger, KY 41018-8190  
 Address Service Requested

Customer Number: 9999999999  
 Account Number: 9999999999  
 Service Address: 999 Any St

ON or BEFORE	Pay this amount	AFTER	Pay this Amount
06/21/10	\$30.48	06/21/10	\$33.36

If address has changed, please check here, complete the information on the reverse side and mail back to NKWD  
 To pay by credit card, please check here, complete the information on the reverse side and mail back to NKWD

1 Sample Customer  
 999 ANY ST  
 Ft Wright KY 41011-3742

Northern Kentucky Water District  
 PO Box 188190  
 Erlanger, KY 41018-8190



100003361877311118342900000304860000033365201006210

Issued by:   
 Officer & Title: Jack Bragg, Jr. CPA, SMA  
 Vice President - Finance

2835 Crescent Springs Road, Erlanger, KY 41018

**WAYS TO PAY YOUR WATER BILL:**  
 Make checks payable to: NKWD

- BY MAIL** When mailing your payment, please allow sufficient time for the payment to reach our office by the due date to avoid late charges.
- DROP BOX** Drop boxes are located at the following locations: 5225 Taylor Mill Road, 2835 Crescent Springs Road, Aqua Drive and at the Sanitation District office at 1045 Eaton Drive. Bills must be dropped off two (2) days prior to due date.
- PRE-AUTHORIZED PAYMENT** Pay your water bill automatically from your checking or saving account or applied to your Visa, MasterCard or Discover. Contact our office for more information.
- AT SOME BANKS** Bills may be payable at your local banking facility, please check with your individual bank for acceptance. Bills must be paid five (5) days prior to due date.
- OVER PHONE** We accept Visa, MasterCard or Discover payments over the phone.
- WALK-IN/DRIVE UP WINDOW** When paying in person, at 2835 Crescent Springs Road or at one of the drop boxes, make check payable to NKWD.

This bill may be paid at the following locations:

<p><b>Walk-In/Drive Up Window</b>                  2835 Crescent Springs Road                  Erlanger, Kentucky 41018</p> <p><b>Office Hours</b>                  8:00 am - 5:00 pm                  Monday - Friday</p>	<p><b>Drop Box Locations</b></p> <table border="0"> <tr> <td>2835 Crescent Springs Road Erlanger, Kentucky 41018</td> <td>1045 Eaton Drive Ft. Wright, Kentucky 41017</td> </tr> <tr> <td>Aqua Drive Cold Spring, Kentucky 41076</td> <td>5225 Taylor Mill Road Taylor Mill, KY 41015</td> </tr> </table>	2835 Crescent Springs Road Erlanger, Kentucky 41018	1045 Eaton Drive Ft. Wright, Kentucky 41017	Aqua Drive Cold Spring, Kentucky 41076	5225 Taylor Mill Road Taylor Mill, KY 41015	<p><b>Mail</b></p> <p><i>Mail Payments to:</i>                  PO Box 188190                  Erlanger, Kentucky 41018</p> <p><i>Mail Correspondence to:</i>                  PO Box 186640                  Erlanger, Kentucky 41018</p>
2835 Crescent Springs Road Erlanger, Kentucky 41018	1045 Eaton Drive Ft. Wright, Kentucky 41017					
Aqua Drive Cold Spring, Kentucky 41076	5225 Taylor Mill Road Taylor Mill, KY 41015					

**BILLING INFORMATION**

- Penalty** A penalty is added to all accounts not paid on or before the due date. Failure to receive bill does not excuse payment of penalty.
- Payment Plans** Payment arrangements can be made by contacting our customer service department PRIOR to the disconnection date.
- Moving** All requests for disconnection of service must be made one business day in advance. You will be held responsible for all charges until such notice is received and a final reading is obtained by the District.
- Fixed Service Charge** The fixed amount required based on the size of the meter to all active accounts. This charge helps to recover the costs associated with meter reading, testing and maintenance.
- Returned Check** A returned check fee will be charged on any returned checks.
- Service Charge** Delinquent accounts that have had a service order issued for disconnect will require a service charge.
- Conversion Factor** 1 Cubic foot x 7.48 = gallons
- Bill Abbreviations** HCF = Hundreds of Cubic Feet  
 HGL = Hundreds of Gallons  
 CR = Credit

**GENERAL INFORMATION**

Rate schedule is available upon request.  
 Visit us on the web at: [www.nkywater.com](http://www.nkywater.com)  
 The Public Service Commission of Kentucky regulates this utility.

**USEFUL PHONE NUMBERS:**

Billing questions/service turned on or off:	859-578-9898	Tour and speaker requests:	859-441-0482
After hours emergency shut-off:	513-244-9016	Public Service Commission:	1-800-772-4636
Water quality questions/concerns:	859-441-0482	TDD:	859-578-4893
Questions on your sewer or storm water bill contact Sanitation District #1	859-578-7450		

Print new address or telephone number here:

Please circle one: Mailing Address or Service Address

Address \_\_\_\_\_

City \_\_\_\_\_

State \_\_\_\_\_ Zip Code \_\_\_\_\_

Home Phone \_\_\_\_\_

Credit Card Payment Option:

VISA     MASTERCARD     DISCOVER

Credit Card Number \_\_\_\_\_

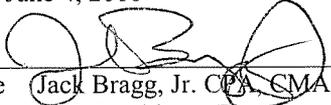
Expiration Date \_\_\_\_\_ Amount Paid \_\_\_\_\_

Authorized Signature \_\_\_\_\_

X

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Date Effective: January 7, 2011

Issued by:   
 Officer & Title: Jack Bragg, Jr. CPA, CMA  
 Vice President - Finance

2835 Crescent Springs Road, Erlanger, KY 41018





February 28, 2005

N KY WATER DISTRICT  
C/O MARK LOFLAND  
PO BOX 17010  
COVINGTON KY 41017-0010

Account Number: 3147691726  
Customer Number: 0053660999

Dear N KY WATER DISTRICT ,

Thank you for contacting our office to make payment arrangements on your account. The arrangement is to be paid in 1 installments. Your payment schedule is as follows:

\$25.00 due on 4/1/2005

If scheduled payment is not kept current, the water service will be discontinued without further notice. In addition, all future bills must be kept current and paid on time or the water service will be disconnected on the scheduled shut off date.

If you have any questions or feel this notice is not accurate, please feel free to contact one of our customer service representatives at (859) 578-9898.

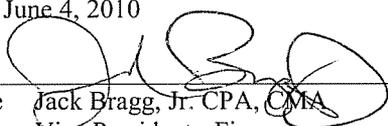
Sincerely,

Northern Kentucky Water District  
Customer Service Department

Northern Kentucky Water District 3049 Dixie Highway P.O. Box 17010 Covington, Kentucky 41017  
859-578-9898 859-578-5456 Fax

Date of Issue: June 4, 2010

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Vice President - Finance

2835 Crescent Springs Road, Erlanger, KY 41018

This list will be updated annually, and additional streets added between annual tariff updates will be posted on the District web page [www.nkywater.org](http://www.nkywater.org) within thirty (30) days of the completion of an extension or lateral from an existing Subdistrict street.

**APPENDIX A – SUBDISTRICT STREET NAMES**

**Customers in Subdistrict A**

- Ashford Village Subdivision - Donegal Ct., Ashford Rd., Celtic Ct., Desmond, Galloway Ct., Rosemore, Kildare Ct., Limerick Circlecourt, Waterford Ct. (Off of Mills Rd.)
- Bowman Rd. (KY 16 to end of road)
- Dorman Dr. (Hickory Grove to end of road)
- Gretchen Dr. (Ryland Estates Subdivision) – Stewart to end of road
- Hickory Grove Drive – KY 16 to end of road
- KY 177 – Porter Rd. to Pruett Rd.
- Manor Hill Subdivision, Burbridge Trail, Cotswold Way, Foxbourne Lane, Manor Hill Dr., Sussex Ct. Tennyson Pl., Willowhurst Trace & Windmere Hill (Off Marshall Rd.)
- Manor Lake Dr. – KY 16 to end of road
- Marshall Rd. – KY 16 to Stewart Rd.
- Miller Lane – Tapped off KY 177
- Mills Rd. – Marshall to Taylor Mill Water Service Area (5,700')
- Petty Rd. – Tapped off Marshall Rd.
- Pruett Rd. – KY 177 to 300'
- Riggs Rd. – KY 16 to end of road
- Ryland Trace Dr. – Subdivision off KY 177
- Staffordsburg Rd. – Marshall Rd. to Maverick
- Stewart Rd. – Marshall to KY 177
- Teegarden Lane – Bowman to end of road

**Customers in Subdistrict B**

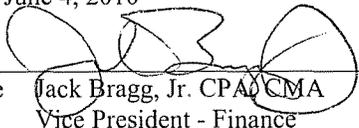
- Armstrong Rd. – 1 service tapped off Moffett
- Bird Rd. – KY 17 to Wynewood
- Farmview Dr. – Moffett Rd. to end of pavement
- Gleason – 1 service tapped off Madison
- KY 17 (Madison Pike) – 12363 to 14158 – 1,200' North of Callant Rd. to Gleason
- Martin Rd. – Moffett Rd. to 3,650'
- Moffett Rd. – KY 17 to Armstrong Rd.
- Rector Rd. – Moffett Rd. to 8,100'
- Rosehawk Lane (Forrest Ridge Subdivision off of Moffett)
- Wynewood Trail – Bird Rd. to end of road

**Customers in Subdistrict C**

- Banklick Rd. – From Bristow to Maher
- Callant – From KY 17 for the first 0.6 miles
- Dixon Dr. – 2 services tapped on KY 17, 1 service tapped on Rich Rd.
- Gardnersville Rd. – From KY 17 for the first 0.8 miles

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2835 Crescent Springs Road, Erlanger, KY 41018

**APPENDIX A – SUBDISTRICT STREET NAMES – Cont’d**

- Glenhurst Subdivision – Chinkapin Circle, Berlander Dr. (stops at 684 Berlander Dr.), Glenhurst Dr.(stops at intersection of Glenhurst Dr. and Berlander Dr. off of Maher Rd.), Lyonia Dr., Colton Ct., Hornbean Dr. (Off of Maher Rd.)
- Graven Rd. – From Maher, 4,400' towards Wright Road
- Green Rd. – KY 16 to US 25
- Hempfling Rd. – First 2.1 miles
- Independence – From Shaw to Maher Rd., Maher 2700' towards Banklick
- KY 17 (Madison Pike) – 14192 to 15960 – Gleason to Pendleton County Line
- Maher – From Banklick Rd. to Kenton/Boone County Line
- Maher – From Independence Road to Banklick
- Maher Subdivision: Meadows, Parker Drive, Stanley Lane, Anna Ln., Brian Lane.
- Martin Road – From Subdistrict B to Staffordsburg Road
- Mulberry Lane – (Rolling Greene Subdivision off of Green Road)
- Paxton Road – From KY 17 for the first 0.65 miles
- Percival Road
- Rector Road – From Subdistrict B to Kenton Station Road
- Rich Road – From KY 17 for the first 2.1 miles
- South Fork Estates Subdivision (South Fork Drive)
- South Fork Estates Subdivision (Thoroughbred Lane)
- South Fork Estates Subdivision – Stablegate
- Spillman Road – KY 17 to end of road
- Staffordsburg Road – From KY 16 to Martin Road
- Stephenson Road – From Green Road to the first 0.5 miles
- Symbo – From Green Road for the first 0.6 miles
- Tupelo Drive – Glenhurst Subdivision, (Off of Maher Road)
- US 25 – Walton City Limits to KY 14
- Visalia Road – From Klein Road to the school (waiting on PSC approval)
- Visalia Road – From Staffordsburg Road for 2 miles
- York Road – From US 25 to the first 0.4 miles

**Customer in Subdistrict D**

- 4 Mile Pike from Uhl Road to Geenvalle
- California Cross Roads from Saint Peter and Paul to Washington Trace Road
- Ky Route 8 to McDonald Lane off Blangey Road
- Persimmon Grove Pike from Burns Road to California Cross Road
- Persimmon Grove Pike from Shaw to Burns Road
- Persimmon Grove Pike from Stevens Branch to Shaw Hess
- Wagner Road – first 0.6 miles
- Winters Lane – County
- Providence Trace off of 4 Mile
- Licking Pike & Steffen Road from Route 10 to KY 936 – KY 936 before Poplar Thicket

**Customers in Subdistrict E**

- Bethel Grove
- Brandy Lane

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**APPENDIX A – SUBDISTRICT STREET NAMES – Cont'd**

- Bromley Crescent Springs Road
- Fiskburg Road (KY 17 to Goshorn Road)
- Ishmael Road (KY 177 to 1200')
- Kenton Station (Rector Road to KY 177)
- KY 177 (North of Kenton Station 7100')
- KY 177 (North of Vises Trail 4250')
- KY 177 (South of Kenton Station 5400')
- KY 177 (South of Vises Trail 2850')
- Licking Station
- McDonald Avenue
- Oliver Road
- St. Johns Road
- Vises Trail from KY 177 to 1150' south of Visalia Road
- Whitaker

**Customers in Subdistrict F**

- Grandview
- Amy Lou
- Flagg Springs
- West Main Street
- Licking Pike from Rifle Range to Subdistrict
- Licking Pike (Existing 8-inch Water Main to Ripple Creek)
- Licking Pike from Trapp Rd. to Rifle Rang
- Heck Road
- Steffen Road
- Siry Road
- Flatwood Road

**Customers in Sub district G**

- Petty Road From Marshall to 3927 Pruett Road
- Klein Road from Visalia to the dead end
- Estate Lane from Visalia to the dead end
- Bramlage Road from Wilson to Banklick
- Fowler Creek Road from Senour to address 5282
- Flower Creek Road from 5346 to Cox/Oliver
- Bullock Pen Road from Old Madison to dead end
- Misty Lane all of street
- Webster Road from address 251 to 270
- Cody Road from address 5364 to Upton Drive
- Cody Road from Flaggstone to address 5545
- Upton Drive Cody Road to dead end
- Sugar Camp address 2760 to Fowler Creek
- Wolf from Valley View to KY 17
- Hollyhock Wolf to address 641
- Lieberman Libscomb to address 5619
- Banklick from Walton Nickolson to Maher

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**APPENDIX A – SUBDISTRICT STREET NAMES – Cont’d**

- Independence Road from address 1068 to Banklick Road
- Cherry Drive (Phase 5)
- Marshall Road (KY177 to #9325) (Phase 5)
- Kenton Station (KY177 to dead end) (Phase 5)
- White Villa Estates (North Lake)(Private) (Phase 5)
- While Villa Estates (Maple and Lakeshore) (Private) (Phase 5)

**Customers in Subdistrict H**

- Rifle Range Road (Licking Pike to the Bridge)
- Enzweiler Road
- Orlando Road
- Bars Branch (End of line to Address #9677)
- Creektrace Road (Licking Pike to John Miller)
- Creektrace Road (John Miller to Indian Trace)
- Creektrace Road (Indian Trace to Pond Creek)
- Indian Trace Road (Creek Trace to JoAnn Lane)
- Indian Trace Road (JoAnn to the end of the Road)
- JoAnn Lane
- Lauren Lane
- John Miller Road (Creektrace to address #9807)
- Pleasant Ridge Road (End of Line to address #11138)
- Maddox Road (End of Line to the end of Road)
- Cory Drive
- Wesley Chapel (California Cross Roads to Schababerle Hill Road)
- Wesley Chapel (Schbaberle Hill to address #12635)
- Schababerle Hill Road (Wesley Chapel to Daniels Road)

**Customers in Subdistrict K**

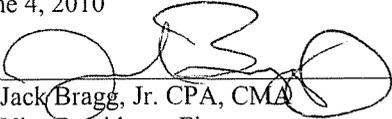
- Klette Road
- Rice Road
- Saylor Court
- Tamber Ridge Drive
- Sencely Court
- Chandrel Court
- Marshall Road
- Coleman Road

**Customers in Subdistrict R**

- Coleman Road – KY 177 to end of street
- Feiser Road – Porter Road to Locust Pike
- KY 177 – 500' North of Wards to 2900' South of Wards
- KY 177 – Porter Road to 800' North
- KY 177 – Pruett Road to Ryland Lakes Drive
- Locust Pike – 650' West of Whites end of road
- Locust Pike – Feiser Road to Wards Lane

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**APPENDIX A – SUBDISTRICT STREET NAMES – Cont'd**

- Porter Road – KY 177 to 7,500'
- Redrow – Locust Pike to end of street
- Spanton Road – Locust Pike to 1,300'
- Wards Lane – Locust Pike to KY 177
- Whites Road – KY 177 to Locust Pike

**Customers in Subdistrict RF**

- KY 177 (From Subdistrict R to short Marshall)
- Porter Road (From Tecumseh approximately 500')
- Short Marshall (KY 177 East 500')
- Tecumseh

**Customers in Subdistrict RL**

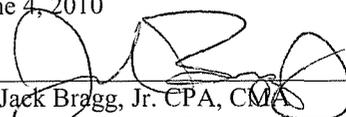
- Crystal Court
- Crystal Drive
- Crystal Lane
- Ernst Bridge Road
- Hillside Drive
- Maplewood Drive
- Meadow Lane
- Mirror Court
- Northall Court
- Orchard Lane
- Redbud Lane
- Ryland Lakes Drive
- Sylvan Lake Drive
- Wild Lake Drive

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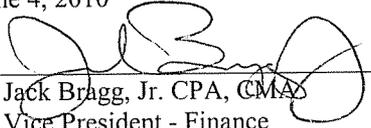
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Northern Kentucky Water District - Subdistrict F



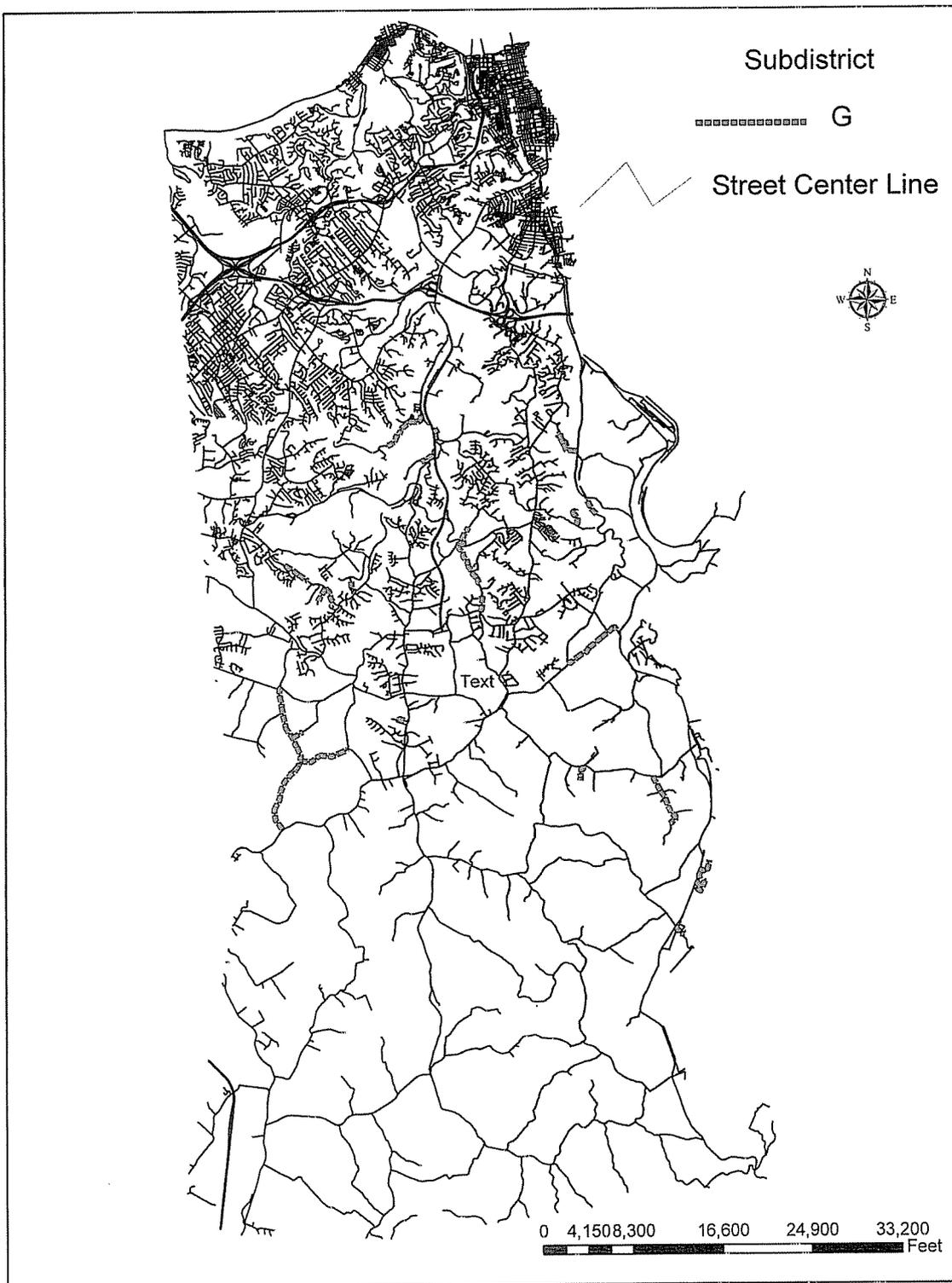
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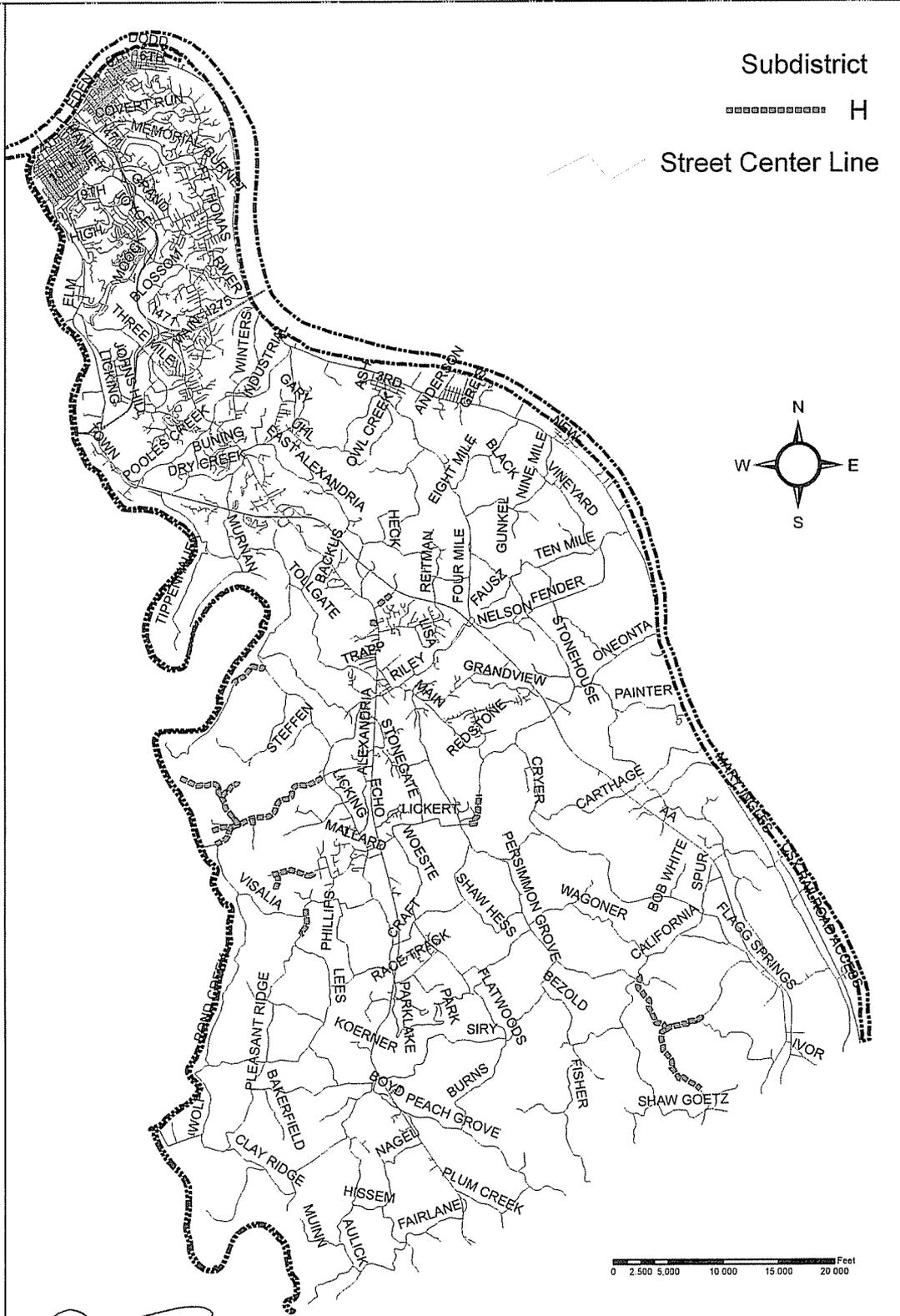
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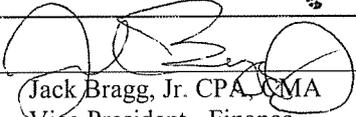


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